

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Robert K. SCHULTZ et al.

Confirmation No.: 8899

Application No.: 10/820,817

Art Unit: 1615

Filed: April 9, 2004

Examiner: E. E. Silverman

For: SUSPENSION AEROSOL FORMULATIONS

# INFORMATION DISCLOSURE STATEMENT (SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE 1.114 RCE APPLICATION)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

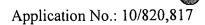
Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

#### I. <u>LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION</u>

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-SB08(s), attached hereto.

#### II. <u>COPIES</u>

	a.	Copies of cited U.S. patents and patent application publications are not included.
Copies	s of fore	ign patent documents and non-patent literature are included.
thouse		Some or all of the documents listed on the PTO-SB08 are not enclosed because d in the International Search Report and copies should already be in the PTO file.
•		
If copi	es are n	eeded, please contact the undersigned.



## COPY



Docket No.: 2801-0187P

© c. <u>REFERENCES PREVIOUSLY CITED OR SUBMITTED</u> - Pursuant to 37 C.F.R. §1.98(d), consideration of information listed on the PTO-SB08 form(s) is requested since any patents, publications, or other information which are listed on the PTO-SB08 form(s) but for which copies are not enclosed herewith, were previously cited by or submitted to the PTO in related <u>U.S. Application Nos. 09/362,696; 09/444,296; or 10/214,186</u>, or in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Application No. and U.S. Filing Date

08/455,280 filed May 31, 1995

07/878,039 filed May 4, 1992

07/809,791 filed December 18, 1991

07/810,401 filed December 18, 1991

#### III. CONCISE EXPLANATION OF THE RELEVANCE

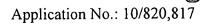
(check at least one box)

- a. <u>DOCUMENTS IN THE ENGLISH LANGUAGE</u> The patents, publications, or other information listed on the attached PTO SB08 are in the English language and therefore, do not require a statement of relevancy.
- b. <u>DOCUMENTS NOT IN THE ENGLISH LANGUAGE</u> A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:
- c. <u>ENGLISH LANGUAGE SEARCH REPORT</u> An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).
- d. OTHER The following additional information is provided for the Examiner's consideration. Each reference listed on the attached SB08, were cited in a related application, as noted above (see box II.c.), with the exception of B. K. Sidhu et al., J. Pharm. Pharmacol., 1993, 45: 597-600, which is first cited herein.





IV.	<u>FEES</u>	(check one box)
	a.	This Information Disclosure Statement is being filed concurrently with the filing
of a ne	w pater	at application; therefore, no fee is required.
a conti	b. inuation	This Information Disclosure Statement/is being filed concurrent with the filing of -in-part, continuation, or divisional patent application; therefore, no fee is required.
_		This Information Disclosure Statement is being filed within three months of the a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required. s not to be used with RCE's.)
	-	This Information Disclosure Statement is being filed within three months of the of the national stage as set forth in § 1.491 in an international application (37 C.F.R. No fee or statement is required.
	e. Request ent is re	This Information Disclosure Statement is being filed concurrently with the filing for Continued Examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or quired.
that a § 1.97	ction or first Off (c) and	This Information Disclosure Statement is being filed before the mailing date of a the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required. In the event fice Action on the merits has been issued, please consider this IDS under 37 C.F.R. see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been our deposit account for the fee as required by 37 C.F.R. § 1.17(p).
		This Information Disclosure Statement is being filed before the mailing date of a action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing the of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)).
	or	No statement; therefore, a fee as required by 37 C.F.R. § 1.17(p) is attached.
		the statement below. No fee is required.

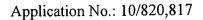




### V. STATEMENT UNDER 37 C.F.R. § 1.97(e)

(check only one box)

Τ	The undersigned hereby states that:
	nication from a foreign Patent Office in a counterpart foreign application not more than
30 days	prior to the filing of this IDS; or
	Each item of information contained in the IDS was first cited in any nication from a foreign Patent Office in a counterpart foreign application not more than onths prior to the filing of this IDS; or
signing	No item of information contained in the IDS was cited in a communication from a Patent Office in a counterpart foreign application, and, to the knowledge of the person the certification after making reasonable inquiry, no item of IDS was known to any all designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the
Patent Containe counterp the rem informat counterp inquiry,	Some of the items of information were cited in a communication from a foreign office. As to this information, the undersigned states that each item of information and in the IDS was first cited in a communication from a foreign Patent Office in a part foreign application not more than three months prior to the filing of this IDS. As to an aining information, the undersigned hereby states that no item of this remaining tion contained in the IDS was cited in a communication from a foreign Patent Office in a part foreign application and, to the best of my knowledge after making reasonable was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months the filing of this statement.
VI. <u>F</u>	PAYMENT OF FEES (check one box)
	The required fee is listed on the attached Fee Transmittal.  No fee is required.





If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: November 21, 2005

Respectfully submitted,

Gerald M Murphy, Jr.

Registration No.: 28,977

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Falls Church, Virginia 22040-0747

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Attorney for Applicant

Attachment(s):

PTO-SB08
Documents

Foreign Search Report

⊠ Fee

Other:

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PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE respond to a collection of information unless it contains a valid OMB control number.

Substitut	Substitute for form 1449A/B/PTO			Complete if Known		
Substituti	e 101 101111 1 1 1 1 0 7 0	<i>Di</i> 10		Application Number	10/820,817-Conf. #8899	
INF	ORMATI	ON DIS	SCLOSURE	Filing Date	April 9, 2004	
			PPLICANT	First Named Inventor	Robert K. SCHULTZ	
0.7				Art Unit	1615	
	(Use as man	y sheets as	necessary)	Examiner Name	E. E. Silverman	
Sheet	1	of	7	Attorney Docket Number	2801-0187P	

	Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or	Relevant Passages or Relevar
AB*	110 5 4 45 004		Applicant of Cited Document	Figures Appear
AB*	US-5,145,684	09-08-1992	Liversidge et al.	
	US-5,492,688	02-20-1996		
	US-5,508,023	04-16-1996		
 AD*	US-5,776,433	07-07-1998		
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AF*	US-5,833,950	11-10-1998	Taylor et al.	
 	US-5,916,540	06-29-1999		
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Curk	stitute for form 1449A/B/F	OTO.		Complete if Known		
Sub	stitute for form 1449/00/1	10		Application Number	10/820,817-Conf. #8899	
IN	IFORMATIO	N DIS	CLOSURE	Filing Date	April 9, 2004	
	TATEMENT			First Named Inventor	Robert K. SCHULTZ	
Ū	.,			Art Unit	1615	
	(Use as many s	heets as r	necessary)	Examiner Name	E. E. Silverman	
Sheet	2	of	7	Attorney Docket Number	2801-0187P	

AT1	US-3,644,353	02-22-1972	Lunts, Lawrence et al.	
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AV2	US-5,292,499	03-08-1994	Evans et al.	
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AX2	US-5,314,682		Sweval et al.	
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AH3	* US-5,674,473		Purewal et al.	
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AL3	US-5,683,677	11-04-1997		
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AN3	US-5,695,743	12-09-1997	Purewal et al.	L
			ID-4-	

Date Considered Examiner Signature



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91	bstitute for form 1449A/B	PTO		Complete if Known		
0.0	ballate for form 1440/02			Application Number	10/820,817-Conf. #8899	
	NFORMATIC	N DISC	LOSURE	Filing Date	April 9, 2004	
9	STATEMENT	BY AP	PLICANT	First Named Inventor	Robert K. SCHULTZ	
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	(Use as many	sheets as nec	essary)	Examiner Name	E. E. Silverman	
Sheet	3	of	7	Attorney Docket Number	2801-0187P	

	AO3*	US-5,720,940	02-24-1998	Purewal et al.	
	AP3*	US-5,736,124	04-07-1998	Akehurst et al.	
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	AR3*	US-5,766,573	06-16-1998	Purewal et al.	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	T <sup>6</sup>
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	Ľ
	BA	CA-2,075,058-A2	01-31-1991			
	BB	GB-91/26405.1	12-12-1991			L
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	BD1	EP-0 384 371-A1	08/1990			
	BE1	EP-0 403 301-B1	12/1990			
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	BG1	EP-0 518 600-A1	12/1992			L
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	BI1	WO-86/03750	07/1986			
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	BL1	WO-90/11754	10/1990			<u></u>

Date Considered Examiner Signature



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Cub	estitute for form 1449A/B/PT			Complete if Known		
Sub	stitute for form 1445/05/FT	Ū		Application Number	10/820,817-Conf. #8899	
IN	<b>IFORMATION</b>	l Di	SCLOSURE	Filing Date	April 9, 2004	
	TATEMENT E			First Named Inventor	Robert K. SCHULTZ	
			,	Art Unit	1615	
	(Use as many sh	eets as	s necessary) Examiner Name E. E. Silv		E. E. Silverman	
Sheet	4	of	7	Attorney Docket Number	2801-0187P	

BM1	WO-91/04011	04/1991		
BN1	WO-91/11173	08/1991	<u> </u>	
BO1	WO-91/11495	08/1991		
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BY1	WO-93/11743	06/1993		
BZ1	WO-93/11744	06/1993		
BA2	WO-93/11745	06/1993		
BB2	WO-93/11747	06/1993		
BC2	EP-0 172 672	02-26-1986		
BD2	GB-2 076 422	12-02-1981		
BE2	GB-1 429 184	03-24-1976	-	
BF2	DE-30 18 550	12-11-1980		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁰ Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	<b>T</b> <sup>2</sup>		
	CA	Byron, 1990, Respiratory Drug Del. CRC Press, Boaca Raton, FL, pp. 1-267			
	СВ	Graepel & Alexander, 1991, J. of Aerosol Med. 4(3):193-200			
	CC	Byron, 1990, Respiratory Drug Del. CRC Press, Boca Raton, FLA, pp. 1-267.			
	CD	Fassberg et al., 1991, U.S. Patent Application No. 07/712,789, filed 10 June 1991.			
	CE	Fassberg et al., 1992, U.S. Patent Application No. 08/157,188, filed as application no. PCT/US92/04618, filed 08 June 1992.			
	CF	Graepel & Alexander, 1991, "CFC Replacements: Safety Testing, Approval for Use in Metered Dose Inhalers", <i>J. of Aerosol Medicine</i> <b>4(3):1</b> 93-200.			
	CG	Morck, H., 1990, "EC Commission: CFC Ban in 1997", <i>Pharmazeutische Zeitung</i> 135(9):502-503, English Translation Provided.			
	СН	Spauchur, H.O., 1988, "HFC 134a as a Substitute Refrigerant for CFC 12", Rev. Int. Froid 11:389-392.			
	CI	1987, "Fluorocarbon/Ozone Alternative to Fully Halogenated Chlorofluorocarbons: The Du Pont Development Program", Du Pont Update, pp. 1-3			
	CJ	1988, "ICI Caution on CFC 22", Chemistry & Industry, Mar. 7, p. 132			
	СК	1988. "The Quest for 'Ozone Friendly' Gases", Financial Times, Nov. 11, p. 10.			
	CL	1990, "Hoechst to Replace CFCs", Brochure, Boescht um Ersatz von FCKW, September. English Translation Provided.			

Examiner	Date
Signature	Considered



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Substitute for form 1449A/B/PTO				Complete if Known	
Substitute for fourt 1445/2017 TO				Application Number	10/820,817-Conf. #8899
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	April 9, 2004
				First Named Inventor	Robert K. SCHULTZ
017				Art Unit	1615
	(Use as many sheets as necessary)			Examiner Name	E. E. Silverman
Sheet	5	of	7	Attorney Docket Number	2801-0187P

CM	1992, "CFC-Free Aerosols - The Final Hurdle", Manufacturing Chemist 63:22-23.
CN	Fassberg et al., 1991, U.S. Patent Application No. 07/712,791, filed as PCT/US92/04619, 08
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Examiner	Date Considered	
Signature	Considered	0144404444





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H	NFORMATIC	N DIS	SCLOSURE	Filing Date	April 9, 2004	
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	(Use as many sheets as necessary)			Examiner Name	E. E. Silverman	
Sheet	6	of	7	Attorney Docket Number	2801-0187P	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.